

37 significant strength decrease compared to single loadinglayer stack. The coatings significantly
 38 influence the strength of the underlying glass in both test modes. The barrier properties, thin film stress

39 and the morphology/crystalline structure are identified as the main characteristics influencing the

40 strength tests. However, coatings can mitigate this decrease. It has been shown that edge failure clearly

41 dominates at low failure probabilities when testing under cyclic load. These findings suggests that

42 eyclic crack growth has a major influence on glass strength under dynamic conditions.

Keywords: Ultra-thin glass; flexible glass; strength; mechanical characterization; thin film coating;
 reliability; cyclic load; U-shape test; fatigue

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46 **1.** Introduction

Glass belongs to the oldest materials of mankind. The first documented glass production dates back 4000 years. Nevertheless, it is still an attractive field of research since innovative glass compositions and/or manufacturing methods enable new application areas until today. While being most visible in architecture, automotive and optics, glass is also gaining importance in electronics and semiconductor industry where it is used for packaging, in wafer-level optics and in displays. Ultra-thin flexible glass (UTG) with a thickness below 100 μm was also implemented into first foldable smartphones.

The superior material characteristics of UTG compared to polymer films might be the reason for its use in foldable displays. Its barrier properties are often used as a benchmark. Moreover, it is highly transparent in the visible and near infrared spectrum and the surface roughness is low. In addition, it is dimensionally stable in a wide temperature range and shows a high surface hardness. [1] However, the benefits of all these positive material properties are limited by the brittleness of the glass.

59 The brittleness of UTG is possibly the main obstacle hindering its widespread use in bendable or 60 flexible applications. Though being deformable, the The glass strength is still statistically distributed 61 following the Weibull distribution [2, 3]. Moreover, the glass strengthit is no material property but a 62 product characteristic, which is strongly influenced by the glass forming process, handling, cutting, 63 coating, and other types of post-processing. Nonetheless, very thin glass products can benefit from an 64 active size effect, i.e. the smaller volume or surface area compared to thick glass products leads to a 65 lower failure probability since the number of defects is smaller [4]. This enables high strength products 66 like foldable flexible glass displays. In the case of thick glass like architectural glass or container glass, 67 the glass strength is a property of minor importance as long as it fulfills the product requirements. [5] 68 In contrast, the glass strength is highly relevant for UTG processing.

69 The whole UTG functionalization like coating or cutting strongly influences the glass strength.
70 UTG can be produced and post-processed in sheet-to-sheet processes as well as roll-to-roll processes.
71 In both cases, the change of glass strength during the functionalization process must be considered. [6]
72 Detailed knowledge of the influence of each functionalization step on the glass strength is necessary
73 to define handling conditions like acceptable contact pressure and warpage during transport or winding

74 radii in the case of roll-to-roll manufacturing. Nevertheless, only a small body of literature dealt with

75 <u>flexibleultra-thin</u> glass strength, especially concerning coated glass. [6-8]

The strength of UTG is strongly influenced by coatings. Coatings of high industrial relevance are 76 77 for example transparent conductive electrodes like indium tin oxide (ITO) and antireflective layer 78 stacks (AR). Transparent conductive electrodes are used in nearly all kinds of displays with ITO clearly 79 dominating the market today [9]. It has already been shown that the annealing of ITO thin films lowers 80 the strength of ITO-coated UTG considerably [6]. In display industry, AR stacks are often used to 81 improve the user experience or provide additional properties like easy-to-clean surfaces or scratch 82 protection [10, 11]. Apart from that, AR coatings are also relevant in optics. FlexibleUltra-thin glass is 83 an excellent substrate for sputter coatings and thus also suitable for the deposition of ITO and AR 84 stacks. Therefore, the influence of the coating processes on the glass strength needs to be studied not only focusing on strength testing but also strength testing under cyclic load. This is especially 85 important since bending is one of the most likely load cases for flexible glass. In this case, the coated 86 87 glass surface experiences maximum stress.

88 The strength under cyclic loading conditions is of increasing importance the thinner the glass is. UTG shows a higher geometric deformability than any flat glass product before. Moreover, not only 89 90 intentional but also unintentional deformations might happen during the production process and use. 91 The determination of fatigue properties under cyclic loading conditions is standardized for many 92 materials. For example, DIN 50100:2022-12 [12] prescribes a load-controlled setup for fatigue testing 93 of metallic specimens and DIN 53442:1990-09 [13] defines a test method for flat plastics specimens. 94 ISO 13003:2003 [14] describes the test conditions for fiber-reinforced plastics. By now, no standards 95 are available for glass testing.

Despite the lack of standardized test procedures, several attempts have been made to analyze the reliability and fatigue behavior of thick glass in the past. The strength of thick glass and also of ceramics under dynamic or cyclic loading conditions has been found to be strongly dependent on the environmental conditions, the loading and the cycle number [15, 16]. Tests have been performed under static conditions as well as under cyclic conditions, e.g. described in [17-21]. It [22] provides a comprehensive list and comparison of the literature concerning fatigue investigations of soda-lime glass. Other glass compositions have been evaluated in the past as well but not as detailed.

103 In general, it has been shown that cyclic fatigue of glass differs from cyclic fatigue of metals [18]. 104 It can be described with the normal, log-normal or Weibull distributions [19]. The latter is most 105 common for the description of glass strength. Previous research has moreover established that the strength under cyclic loading conditions can be estimated using the theory of subcritical crack growth, 106 107 i.e. that defects grow slowly until a certain threshold value. Then, critical crack growth occurs leading 108 to total failure [3, 2221, 23].23-24]. It can be assumed that this mechanism is also valid for UTG. 109 Nevertheless, the influence of coatings on this behavior has not yet been considered in literature. 110 Moreover, the test equipment used for thick glass is not suitable for UTG testing due to the increased 111 flexibility of UTG-compared to thick glass.

Strength tests for flexible glass have to be miniaturized because of the low thickness and the large deformability of the glass. Because of that, low forces are applied in most cases. Even though no standardized method is available for strength testing of UTG, several techniques have been adapted to the needs of UTG in the past, e.g. 2-, 3- or 4-point tests, ball-on-ring-tests and tensile tests. [6, [2425-28] Unfortunately, all these30]. All setups are quasi-static and most of them lack the possibility of performing reliability tests under cyclic load. A new method has or tests have been limited to pre-

118 damaged specimens in the past. A procedure for rapid fatigue testing was recently proposed whichin 119 [31]. The setup allows quasi-static_strength testing and strength tests under cyclic load in the same 120 setup. This setup allows the direct comparison of both material characteristics. In contrast to Moreover, 121 the typical one-stage fatigue test standardized for metalsmethod is suitable for tests of specimens 122 without pre-damages. It was used for the investigations presented in this paper and plastics, the stress 123 amplitude is increased during the test procedure while the cycle number per load step is kept 124 constant<u>explained in detail in section 2.2</u>.

Thus, results cannot be compared with results of other test methods directly. Despite that, it allows
 the practically relevant evaluation of the influence of deformations of UTG during handling and
 processing on its strength. [29]

Specimen deformation in this new setup comprises two phases. The process starts from the 128 129 horizontal position (Figure 1 a)). First, the specimen holders are tilted into a vertical position while 130 being simultaneously brought together (Figure 1 b)). The specimen consequently forms an are and later a drop. When the specimen holders reach the vertical position, the specimen nestles onto a contact 131 132 surface and forms a U (Figure 1 c)). Afterwards, the plate distance is further reduced leading to a 133 compression of the U-shape. The minimum plate distance is 11 mm which corresponds to a maximum 134 stress of approx. 800 MPa in the 100 µm UTG specimens. The maximum stress can be derived from the plate distance in the moment of failure. The highly non-linear maximum stress curve during the 135 test was determined by FEM simulation for the given specimens (Figure 2). Thus, the-setup can be 136 137 used to evaluate the relation between UTG strength and its strength under cyclic load, especially since no stiffness degradation needs to be accounted for. This knowledge can help to decrease the risk of 138 139 material failure during UTG processing due to intended and unintended substrate deformation.



141 Figure 1. Procedure of the U-Shape folding test. Up to ten specimens can be tested simultaneously.



151 **2.** Materials and Methods

152 2.1. Sample preparation

The study in this paper is intended to provide an overview of the effect of cyclic loading on the strength of flexibleultra-thin glass with a thickness of 100 μ m. Therefore, selected example systems from three different groups of samples with high industrial relevance were investigated: uncoated glass, glass with a single indium tin oxide (ITO) coating, and glass with an antireflective layer stack (Figure 3<u>1</u>).



Figure 31. Sample groups investigated in this paper: a) uncoated 100 μm UTG, b) UTG
 with a 150 nm indium tin oxide (ITO) coating and c) UTG with an antireflective layer
 stack consisting of seven layers with a total thickness of 455 nm.

162 In all tests, UTG with a thickness of 100 µm was investigated. Four types of uncoated UTG were 163 analyzed: Schott AF32, Schott D263T, Schott AF32, NEG G-Leaf and Corning Willow Glass- [32[35]. 164 The sheet size of the UTG was 30 x 35 cm² in all cases. The sheets were cut into smaller specimens for strength investigation measuring 20 x 120 mm² by scribing and breaking using a Solid-D diamant 165 166 cutting blade by MDI Advanced Processing GmbH. The scribe pressure was between 0.04 MPa and 167 0.08 MPa. The best pressure for each glass type was decided by the system operator. The scribe speed was 50 mm/s and the scribe table was covered with a 50 µm PET layer. The specimens were separated 168 169 by hand. The time between cutting and strength measurement was in the range between one month and 170 four months since all specimens were cut in one batch, but the strength tests had to be carried out 171 successively over three months. Coated glass was cut after coating.

172 Two variations of sputtered ITO thin films were chosen for the investigations: an as deposited 173 film and an annealed film. The as deposited ITO coating was sputtered with additional hydrogen flow. 174 The presence of hydrogen during ITO sputter deposition leads to a higher charge carrier density which 175 results in a lower resistivity [3036]. This is often required in (industrial) applications. The annealed 176 ITO thin film shows a low resistivity as well. The coating parameters and the main properties of the 177 two variations of ITO coatings are listed in Table I. Both ITO variations were deposited on Schott 178 D263T and Schott AF32. An inline vertical pilot scale vacuum coater for substrate sizes up to 120 x 60 179 cm² was used for thin film deposition. Thin film thickness was determined using the profilometer XP-180 200 by Ambios and resistivity was measured using the four-point method. The substrate curvature for

the calculation of Residual thin film stress after the Stoney method deposition was determined from the curvature of special stress measurement glass stripes (5 x 60 x 0.15 mm³) using atthe Stoney method [37]. A P15-LS profilometer by Tencor was used for the curvature measurement of the measurement stripes before and after thin film deposition. The atomic force microscope Explorer by Topometrix was used to investigate the surface roughness. After coating, specimens for strength tests of a size of 20 x 120 mm² were cut as described above.

ITO as deposited Annealed ITO Working pressure 0.3 Pa 0.3 Pa Sputter power 4 kW/m, DC mode 4 kW/m, DC mode $O_2/(O_2+Ar+H_2)$ 4.1 % 1.75 % Sputtering $H_2/(O_2+Ar+H_2)$ 3.3 % Deposition rate 29 nm·m/min 28 nm·m/min Atmosphere Air Temperature 300 °C Annealing $40\% \pm 5\%$ at 20 °C Relative humidity Duration 15 min $150 \text{ nm} \pm 10 \text{ nm}$ Film thickness $150 \text{ nm} \pm 10 \text{ nm}$ Resistivity 345 µΩcm 255 µΩcm Characteristics Thin film stress -700 MPa -1100 MPa Crystall<u>inity</u> Amorphous Crystalline Surface Roughness R_a 0.8 nm 1.5 nm

Table I. Coating parameters and material characteristics of the two investigated ITO variations.-

188 The AR-system consisted of seven layers with a total thickness of 455 nm. Zirconium dioxide was 189 used as the highly refractive material while silicon dioxide was chosen as the low refractive one. The 190 thickness of the single layers is displayed in Figure 31. Two variations of the system were analyzed. 191 They differ in the crystalline structure of the zirconium dioxide and the resulting thin film stress 192 (Table II). Also the AR coatings were deposited on Schott D263T and Schott AF32. -Ceramic rotatable 193 targets were used to deposit the ZrO₂ layers. The power density was 12.5 kW/m. The SiO₂ layers were 194 deposited using pure silicon planar targets with a power density of 13.3 kW/m. An impedance control loop allowed the reactive gas control of oxygen. The sheets were cut after deposition. 195

Table II. Material characteristics of the two investigated variations of antireflective layer stacks (AR).

	AR 1: SiO ₂ -ZrO ₂	AR 2: SiO ₂ -ZrO ₂ :Si
Crystallinity	Monoclinic/tetragonal	X-ray amorphous
Crystallinity SiO ₂	X-ray amorphous	X-ray amorphous
Thin film stress (ZrO ₂)	-347 MPa	-132 MPa
Thin film stress (stack)	-218 MPa	-263 MPa

197 2.2. Mechanical testing

198 Tests within this paper were performed using the YUASA U-Shape Folding Test Machine by 199 Bayflex solutions [3138] like shown in Figure 12. The setup is commercially available and can perform 200 reliability tests with a reasonable speed of up to 30 bending cycles per minute. This allows fatigue tests 201 with large deformations in an economically viable time frame. Moreover, the system is easy to handle. 202 Specimens are installed horizontally, and the setup allows the simultaneous deformation of up to ten 203 specimens. Despite its simplicity in handling, the U-shape test suffers from a highly non-linear 204 deformation of the specimens which results in a non-constant strain rate. However, the deformation 205 process and the resulting stress states of the specimens are described in detail in [31]. Thus, the stress 206 distribution and history at any point of the specimen is non-linear but predictable. Moreover, the 207 effective length of the specimens is high for low stress values compared to a conventional 2-point 208 bending test. This is beneficial to analyze early failure which is crucial to investigate the influence of 209 processing on the strength of flexible glass. As proposed in [31], an adapted 3D printed specimen 210 holder was used to hold the specimens form-fitted instead of force-fitted by clamping. The latter would 211 lead to immediate breakage at the clamping edge.

212 Specimen deformation in this setup comprises two phases. The process starts from the horizontal 213 position (Figure 2 a)). First, the specimen holders are tilted into a vertical position while being 214 simultaneously brought together (Figure 2 b)). The specimen consequently forms an arc and later a 215 drop. When the specimen holders reach the vertical position, the specimen nestles onto a contact 216 surface and forms a U (Figure 2 c)). Afterwards, the plate distance is further reduced leading to a 217 compression of the U-shape. The minimum plate distance is 11 mm. The stress history at all positions for all time increments can be derived from a FEM simulation as presented in [31]. The minimum plate 218 219 distance corresponds to a maximum stress of approx. 800 MPa. Maximum stress occurs on the convex 220 surface in the middle of the specimen. Figure 3 shows the resulting maximum stress curve dependent 221 on the plate distance. The setup can be used to evaluate the relation between UTG strength and its 222 strength under cyclic load, especially since no stiffness degradation needs to be accounted for. This 223 knowledge can help to decrease the risk of material failure during UTG processing due to intended 224 and unintended substrate deformation.



Figure 2. Procedure of the U-Shape folding test. Up to ten specimens can be tested simultaneously. An adapted 3D printed specimen holder was used to hold the specimens based on positive locking instead of negative locking by clamping.

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Figure 3. Maximum stress curve in the U-shape folding test according to [31]. Maximum stress occurs in the middle axis of the specimen on the convex side. The latter would lead to immediate breakage at the clamping edge.

234 The test machine can be used for both quasi-static strength testing and strength testing under 235 cyclic load. In the first case, specimens are deformed from the horizontal state until failure while 236 stepwise testing is used for the latter investigation mode. Within this paper, all specimens were tested 237 with the cut/coated side under tensile stress. A 45 µm polypropylene adhesive tape with a width of 238 15 mm was attached to the other side of the specimens (width: 20 mm) to reduce the damage risk by 239 glass splinters. Moreover, the adhesive tape allowed the analysis of fracture patterns after failure. The 240 origin of fracture was determined from the fracture patterns for all specimens by visual inspection. The 241 adhesive tape has a strength-reducing influence which will not be further addressed in this paper. All 242 tests were carried out at a temperature of 22 °C and $\frac{5040}{50}$ % ± 5 % relative humidity.

The strength tests were performed with a deformation speed of 1 mm/s from the horizontal specimen position until failure. Two specimens were tested simultaneously. The plate distance at fracture was determined acoustically from video recordings of the tests since no load cell is available in the setup.

247 The procedure was adapted to evaluate the influence of cyclic loading on the glass strength. In 248 these as proposed in [31]. In the tests, ten specimens were tested simultaneously. The test parameters 249 are listed in Table III. Load was increased stepwise, i.e. the minimum plate distance was decreased 250 stepwise. For each load step, the specimens were deformed 500 times. Broken specimens were removed. The specimens were deformed with a frequency of 25 min⁻¹ (0.42 Hz) for plate distances 251 252 over 42 mm, and 20 min⁻¹ (0.33 Hz) for plate distances below. The Based on the simulation results presented in [31], the load steps wherewere chosen in a way that the difference between two steps was 253 254 between 25 MPa and 75 MPa. The test routine was interrupted when only three or fewer specimens 255 remained. These were set aside, and the test routine was continued together with other surviving 256 specimens later. MPa. Due to the complex shaped bending line during a deformation cycle (Figure-1

257 <u>2</u>), a highly non-linear loading signal results locally as shown by the line average of the 1st principal
258 stress in the middle of the specimen (Figure 4). Nevertheless, it can be estimated as nearly triangular
259 in the range between 250 MPa and 805 MPa or in general, when the plates are in contact with the UTG.



Table III. Overview of the test parameters of the cyclic loading tests.-

Figure 4. Load signal and plate distance during the test with a minimum plate distance of
 11 mm- and a deformation frequency of 0.33 Hz according to the stress approximation in
 [31]. The average 1st principal stress of the axis in the specimen middle on the convex side
 is displayed.

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The test routine was interrupted when only three or fewer specimens remained. These were set aside, and the test routine was continued together with other surviving specimens later. This timesaving procedure was chosen because data from a previous study with thick glass suggest that load breaks do not influence the strength under cyclic loading, i.e. cracks do not heal during load breaks [21].

- 274 2.4.2.3. Statistical analysis
- 275 <u>2.4.1.2.3.1.</u> Weibull distributions to describe the strength of glass

The Weibull distribution is a continuous statistical distribution and was first described by Waloddi Weibull in 1939 [2]. The two-parametric Weibull distribution for a general strength distribution can be defined as follows:

$$F(\sigma) = 1 - e^{-\left(\frac{\sigma}{\sigma_{crit}}\right)^m}$$
(1)

F is the failure probability, which can be calculated using two parameters: the critical strength σ_{crit} and the Weibull modulus m. While the critical strength represents the 63.2 %-percentile of the distribution, the Weibull modulus m describes the form of the distribution. In general, the twoparametric Weibull distribution is used to model the strength of glass [3239]. However, Jotz has recently shown that the three-parametric Weibull distribution can be considered when the strength of uncoated UTG is analyzed [8]. In this case, a threshold parameter σ_0 is introduced, leading to the threeparametric Weibull distribution:

$$F(\sigma) = 1 - e^{-\left(\frac{\sigma - \sigma_0}{\sigma_{crit} - \sigma_0}\right)^m}$$
(2)

286 <u>2.4.2.2.3.2.</u> Statistical testing

287 To evaluate the strength data properly, the censored nature of the datasets must be considered, i.e. 288 that the exact strength of some specimens is unknown. In the case of this study, the strength of 289 surviving specimens is above 805 MPa according to the FEM simulation in [31] but the exact value is unknown. Thus, the samples were treated as right-censored data. Specimens that did not break during 290 291 the whole test procedure were considered as survivors in the statistical evaluation. The samples of the 292 strength tests under cyclic load were additionally treated as interval censored data. This is because the 293 test procedure was stepwise and not continuous. So, the real strength under cyclic load lies somewhere 294 in the given interval between the two load steps before failure and at failure. Nevertheless, the real 295 value is unknown.

Statistical tests using the Software Minitab were used for the comparison of the strength and the strength under cyclic load for each of the samples. Since the comparison of the whole datasets is not applicable, the difference between the critical strength of both datasets was compared for each sample. To do so, the 95 % confidence intervals of the critical strength of the datasets were determined and then compared. By comparing these intervals and not only the critical strength values, the probability of error is 5 %.

302 <u>2.5.2.4.</u> Finite Element Model

803 A finite element model (FE model) of a plane glass with a thin coating was used to study the 304 effect of thin film stress on the stress state near the boundary layer and near the cutting edge. Even 305 though the coating is relatively thin with respect to the total thickness of the UTG, additional insights 306 are expected, due to the high influence of stress peaks on the fatigue performance known from other 807 materials [3340-3542]. These peaks could be relevant since the thin film stress within the coating is 308 relatively high with respect to the failure stress of the UTG as shown in Table I and Table II. In order 309 to model the coated UTG, it is necessary to use a sub model of the UTG itself, due to drastic difference 310 in size of approximately a factor of 1000 in thickness. Furthermore, the FE model focused on ITO 311 coating, due the availability of elastic constants and the homogenous layer compared to an antireflective layer stack. It was decided to model a slice under plane stress condition (since stresses along the edge are of no interest) with a thickness of $3.15 \,\mu\text{m}$ including $0.15 \,\mu\text{m}$ coating. The model expands 8 μm from the edge of the specimen. Because the edge is of major interest, the mesh is refined in this direction and a minimal size of $10^{-3} \,\mu\text{m}$ is reached in this region. The model is meshed with CPS4R four-node plane stress elements. The residual stress within the coating is applied by a homogenous predefined field in the commercial software Abaqus, which is loaded with -700 MPa. In the calculation step, the model is then brought into equilibrium. Both constituents are assumed linear

- elastic with an elastic modulus of 116 GPa and 74.8 GPa for ITO coating and glass (Schott AF32)
- B20 respectively. The Poisson's ratio is taken to 0.35 for ITO and 0.24 for the glass. [36]32, 3743]





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Figure 5. Finite element model of the near-edge volume of ITO-coated AF32 glass-

With regard to the boundary conditions, the left-hand edge was assumed to be undeformed in one direction (see Figure 5). For the bottom, edge u_2 was taken to be fixed to get the stress state in UTG when stretched to a plane state. For u_1 it was finally decided based on mean stress considerations to be set to $u_1=0$. The mean stresses within the glass can be calculated according to equation (3) based on the thickness t ratios.

$$\sigma_{Glass} = \frac{t_{Coating}}{t_{Glass}} \sigma_{Coating} \tag{3}$$

With this, it can be shown that for the real UTG thickness of 100 μ m a mean tensile stress of 1.1 MPa is present, which is negligible. If u₁ would be unconstrained, this would correspond to an actual glass thickness of 3 μ m and 35 MPa. Therefore u₁=0 is the better approximation for the submodel.

- 332 **3.** Results and Discussion
- 333 *3.1. Strength distributions*

334 The strength distributions under quasi-static conditions and the distributions of strength under cyclic

loading conditions are shown in Figure 6 for the uncoated glass. In this and other diagrams within this paper, the term *single loading* refers to quasi-static strength testing (always marked with diamond shape data points) while *cyclic loading* describes strength tests under cyclic load (circle data points).



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Figure 6. Strength distributions under single loadquasi-static conditions (continuous testing) and under cyclic load (stepwise testing) of 100 μm UTG in the uncoated state. a) d) show the four investigated glass types.

In the case of uncoated glass, the strength could be modeled using three-parametric Weibull distributions like proposed by Jotz in [8]. As seen in Table IV, the threshold values lie in the range between 139 MPa and 173 MPa. In a different test setup with a higher effective length of the specimens and another cutting method, Jotz derived a threshold value of 102 MPa. Considering the differences of the setups, the higher value in the U-shape test seem reasonable. This threshold value could be a minimum strength value but the significance is not yet clear among scientists.

349	Table IV. Parameters and their 95 % confidence intervals of the three-parametric Weibull
350	distributions determined for single loading fracture tests of uncoated UTG. For the
351	threshold value σ_0 the determination of the confidence interval was not possible. N _{censored}
352	is the number of surviving specimens that did not break during the test. The total specimen
353	number is N+ N _{censored.}

Glass type	N(N _{censored})	m	o_{crit} in MPa	σ₀ in MPa
AF32	30 (3)	0.9 (0.7; 1.2)	286 (187; 436)	173
D263T	29 (8)	0.8 (0.5;1.1)	4 90 (279; 858)	173
G-Leaf	26 (6)	1.3 (0.8; 2.2)	4 30 (311; 593)	112
Willow Glass	22 (9)	0.9 (0.6; 1.3)	4 54 (287; 718)	139

354 In contrast to the strength under quasi-static conditions, the three-parametric Weibull distribution was not suitable to model the strength of the uncoated glass under cyclic loading conditions (Figure 6). 355 856 Moreover, the three-parametric Weibull distribution did not fit for any dataset of coated glass (Figure-7 357 and Figure 8). There, the threshold value derived for the three-parametric distributions was physically 358 senseless in all cases. Moreover, in some cases, the data of ITO coated glass do not follow a single 359 two-parametric distribution either. In contrast, often two or even three different Weibull distributions 360 would be necessary to fit the data. This might be caused by different fracture mechanisms. The 361 superimposition of two or more different distributions might cause the unusual form of the data in the 362 Weibull plot. Nevertheless, two-parametric Weibull distributions were used to describe the datasets of 363 coated glass as displayed in Table V and VI. As seen in Figure 7 and 8, the calculated distributions fit the data well in most cases for the 62.3 % percentile of the critical strength. For reasons of 364 365 comparability, also the strength of the uncoated glass was additionally described using two-parametric Weibull distributions. 366

367**Table IV.** Parameters and their 95 % confidence intervals of the three-parametric Weibull368distributions determined for single loading fracture tests of uncoated UTG. For the369threshold value σ_0 the determination of the confidence interval was not possible. Ncensored370is the number of surviving specimens that did not break during the test. The total specimen371number is N+ Ncensored.

Glass type	<u>N(N_{censored})</u>	<u>m</u>	<u> </u>	<u>σ₀ in MPa</u>	
<u>AF32</u>	<u>30 (3)</u>	0.9 (0.7; 1.2)	<u>286 (187; 436)</u>	<u>173</u>	
<u>D263T</u>	<u>29 (8)</u>	0.8 (0.5;1.1)	<u>490 (279; 858)</u>	<u>173</u>	
<u>G-Leaf</u>	<u>26 (6)</u>	<u>1.3 (0.8; 2.2)</u>	<u>430 (311; 593)</u>	<u>112</u>	
Willow Glass	<u>22 (9)</u>	<u>0.9 (0.6; 1.3)</u>	<u>454 (287; 718)</u>	<u>139</u>	



Figure 7. Strength distributions and distributions of strength under cyclic load quasi-static conditions (continuous testing) and under cyclic load (stepwise testing) of 100 μm UTG with 150 nm ITO coatings. a) to d) show the different glass-coating combinations.



Figure 8. Strength distributions and distributions of strengthunder quasi-static conditions (continous testing) and under cyclic load (stepwise testing) of 100 μm UTG with 455 nm AR stacks. a) to d) show the different glass-coating combinations.

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882Table V. Parameters of the two-parametric Weibull distributions of the (single loading)883fracturequasi-static884number of surviving specimens that did not break during the test. The total specimen885number is N+ Ncensored.

Sample	$N(N_{censored})$	m	σ _{crit} in MPa
AF32	27 (3)	1.8 (1.3; 2.4)	516 (415; 640)
D263T	21 (8)	1.6 (1.1; 2.3)	693 (529; 908)
G-Leaf	26 (6)	2.3 (1.7; 3.2)	610 (515; 723)
Willow Glass	22 (9)	1.6 (1.1; 2.2)	616 (471; 805)
AF32 with ITO as dep.	26 (4)	11.8 (8.6; 16.1)	742 (718; 767)
D263T with ITO as dep.	23 (7)	4.4 (3.1; 6.3)	733 (669; 804)
AF32 with ITO annealed	30 (0)	5.0 (3.5; 7.0)	520 (483; 560)
D263T with ITO annealed	30 (0)	2.1 (1.6; 2.8)	371 (311; 443)
AF32 with AR 1	30 (0)	5.6 (4.2; 7.3)	403 (377; 431)
D263T with AR 1	30 (0)	3.9 (2.9; 5.2)	473 (429; 521)
AF32 with V2	30 (0)	5.4 (4.1; 7.2)	399 (373; 428)
D263T with V2	30 (0)	4.5 (3.4; 5.9)	444 (408; 483)

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Table VI. Parameters of the two-parametric Weibull distributions of the fracture strength tests under cyclic load including the 95 % confidence intervals. N_{censored} is the number of surviving specimens that did not break during the test.

Sample	$N(N_{censored})$	m	σ_{crit} in MPa
AF32	30 (0)	2.7 (2.1; 3.6)	301 (262; 346)
D263T	30 (0)	2.4 (1.8; 3.2)	279 (238; 328)
G-Leaf	29 (0)	2.3 (1.7; 3.2)	286 (242; 339)
Willow Glass	30 (0)	2.5 (1.9; 3.2)	313 (268; 366)
AF32 with ITO as dep.	30 (0)	13.2 (9.4; 18.4)	678 (658; 699)
D263T with ITO as dep.	27 (1)	3.2 (2.3; 4.3)	585 (516; 662)
AF32 with ITO annealed	27 (0)	2.1 (1.4; 3.1)	220 (180; 270)
D263T with ITO annealed	29 (0)	1.9 (1.3; 2.8)	231 (187; 285)
AF32 with AR 1	29 (0)	4.2 (3.2; 5.5)	315 (287; 346)
D263T with AR 1	30 (0)	5.7 (4.3; 7.6)	390 (365; 417)
AF32 with V2	28 (0)	6.8 (5; 9.1)	299 (281; 317)
D263T with V2	30 (0)	3.5 (2.5; 4.8)	362 (325; 403)

As Figure 6 - 8 show, the distributions of strength and strength under cyclic loading generally differ from each other. Nevertheless, the distributions sometimes show the same tendencies. This is especially obvious in the case of as deposited ITO on AF32, where both distributions show an equal but very unusual behavior. Many specimens of this sample broke suddenly at a very high stress of over 600 MPa in both the strength test and the cyclic load test. Possibly, the compressive thin film stress of approximately -700 MPa influences the strength leading to higher strength values. Since D263T with the same coating does not show this behavior, it is however more likely that the glass type AF32 is responsible for this fracture behavior. Unfortunately, the reason could not be identified within the scope of this paper. -Beside this exception, the Weibull modules of the other datasets are very low in most cases (1.6 ... m ... 6.8), i.e. the data are widely scattered.

The high strength of both samples with as deposited ITO coatings is surprising because former
 tests in the ball-on-ring setup showed an opposite effect on the surface strength of ITO coated UTG
 [6].

402 3.2. Difference between fracturethe strength under quasi-static and fatigue strengthcyclic loading 403 <u>conditions</u>

404 As already mentioned, the distributions of the fracture strength and the strength under cyclic load 405 differ for all tested samples. Moreover, the distributions of the strength under cyclic load are shifted to 406 lower stresses compared to single loading-quasi-static conditions. Especially at low failure 407 probabilities, the strength under cyclic load is usually lower. These differences are difficult to quantify 408 due to the stepwise testing procedure of the strength under cyclic load. Nevertheless, differences get 409 obvious when comparing the critical strength values of the corresponding datasets. As shown in Figure 410 9, the 95 % confidence intervals of both values do not overlap in any case (see also Table V and Table 411 VI). Thus, both characteristic strength values differ significantly in all cases.





strength test and the strength test under cyclic load. The 95 % confidence interval isdisplayed with whiskers.

For both uncoated and coated glass, low-speed crack growth is the most likely reason for the lower strength under cyclic load. This is usually referred to as *subcritical crack growth* and describes the phenomena that atomic bonds at the crack tip of an existing crack break and the crack grows without leading to immediate failure [1215]. In the case of glass, this is strongly supported by water corrosion [3, 2223]. To differentiate the crack growth under cyclic loading conditions from subcritical crack growth under static loading conditions, the term *cyclic crack growth* (CCG) is used in this paper. This term was already introduced by Danzer et al. in [3239].

423 Due to CCG cyclic crack growth during cyclic testing, smaller defects become potentially critical. 424 Since CCG cyclic crack growth leads to crack propagation, even small defects can grow to a critical 425 size during the repeated deformation. In other words: when two identical sets of specimens with 426 identical defects were tested in both a quasi-static strength test and a test under cyclic loading 427 conditions, the latter test would most likely lead to lower strength values because the defects can grow 428 more easily during the deformation process compared to the defects under quasi-static conditions. The 429 influence of CCG cyclic crack growth on the strength has already been shown similarly for thick glass 430 [20, 21]. Thus, UTG seems to behave comparable to thick glass concerning crack growth under cyclic 431 loading conditions even though the degree of deformation differs considerably.

432 3.3. Analysis of the origin of fracture <u>patterns</u>

It is common sense that the largest flaws in glass plates are usually at the edges. Thus, edge failure is assumed to be most likely. Despite that, a reasonable number of specimens showed fracture origins in the middle in this study. somewhere else in the surface area in this study. The fracture origin is estimated to be on the frontside of the specimens, i.e. on the convex side that has experienced tensile stress during the U-shape test.

A variety of fracture patterns appeared during the tests. Figure 10 shows examples of the most important types. The patterns were used to identify the origin of fracture. In the case of butterflyshaped fracture patterns (1), the origin of fracture was in the middle of the sample. It was not possible to identify whether the fracture originated from a volume or a surface damage, but due to bending stress distribution surface failure is more likely. When the pattern was fan-shaped (2), the origin of fracture lied at the edge of the specimen. Some specimens broke with a single fracture line (3). In these cases, edge failure was assumed likewise.





Figure 10. Fracture patterns observed during strength testing and strength testing under cyclic load: a) butterfly-shaped pattern, b) fan-shaped pattern, c) single fracture line. In the middle of the specimens, the adhesive tape is and its uneven edges are visible. The smooth glass edges are the barely visible as they are lighter and thinner lines to the left and right and left of the adhesive. Any waves in the picture are artefacts of image stitching.

450 In the case of cyclic loading, edge failure clearly dominates at low failure probabilities while 451 failure in the middle of the specimens gains importance at higher stress and higher failure probabilities 452 (Figure 11). While edge defects can be correlated to the cutting process or handling, the failure in the 453 middle of the surface is probably related to glass handling, the coating procedure and/or the thin film 454 coating itself. From metals, it is established that high strength and less ductile materials are prone to 455 crack initiation already at smaller notches and defects compared to more ductile materials. The main 456 reason for this behavior is that a brittle material cannot mitigate the stress concentration at a crack tip 457 by plasticity [3542]. This finding could be applied to the fatigue behavior of glass – also a brittle 458 material. Since edge defects cannot be completely avoided in scribe and break processing, it is most 459 likely that stress concentrates at the tip of an existing edge flaw leading to microcrack growth first and 460 to total failure eventually. This mechanism comprising both crack nucleation and crack propagation is 461 proposed by Masuda et al. in [13].in [16]. The growth of edge flaws is also more likely because of 462 stress exaggerations at the specimen edges in the test setup and caused by thin film stress (see also 463 3.43). In addition to the increased probability of edge flaws, the edges of the coated glass remained 464 uncoated since the glass was cut after coating. Thus, humidity can reach edge flaws unhindered leading 465 to CCG cyclic crack growth also in the case of coated glass.



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Figure 11. Overview of the fracture origins of the individual specimens of all samples
tested under cyclic loading conditions. Data points are stacked due to the stepwise test
procedure. The number of surviving specimens is indicated on the right.

471 In contrast to cyclic loading, middle defects seem to be more dominant in single loadingquasi-472 static strength tests (Figure 12). Edge defects occur both at low and high stress, but no clear tendency 473 is visible. This is remarkable because the (hardware) test setup remained unchanged for both single 474 and cyclic loading. Moreover, the cyclic loading tests were performed with a higher loading speed 475 which normally leads to higher strength values [7]. This further confirms the hypothesis that relevant 476 CCG happens. A possible explanation is that small edge flaws are present in the samples. While they 477 (nearly) do not grow during quasi-static strength tests, cyclic crack growth is likely during tests under 478 cyclic loading conditions. In the latter case, the former small edge defects grow and can eventually 479 lead to early edge failure while in the first case, the edge defects remain small and other bigger defects, e.g. in the middle of the specimen but also at the edges, lead to later failure. This explanation fits with 480 481 the assumption of cyclic crack growth as discussed in literature [18[20[21].



482

Figure 12. Overview of the fracture origins of the individual specimens of all samples
tested under quasi-static conditions. The number of surviving specimens is indicated on
the right.

487 3.4. Influence of thin film coatings on the fracture behavior of flexibleultra-thin glass

488 Even though the coating thickness is small compared to the glass thickness, coating properties 489 have a considerable influence on the mechanical behavior of the system. Coatings especially seem to 490 influence the growth of cracks during cyclic loading. Figure 13 shows the correlation between the 491 critical strength under quasi-static conditions and the critical strength under cyclic loading conditions. 492 The critical strength under cyclic load lies in the range of 40 % to 90 % of the quasi-static strength. 493 The ratio is especially low for uncoated glass and glass with annealed ITO (40 % - 60 %), while it is 494 higher for as deposited ITO and AR coated glass (70 % - 90 %). In the case of coated glass, the coating 495 does not cover the glass edges since the glass was cut after coating. Thus, edge flaws are not (fully) 496 protected. Nevertheless, coatings influence the fracture behavior.



497

498 Figure 13. Correlation diagram of the critical fracture strength under quasi-static499 conditions and the critical strength under cyclic loading.

500 A possible explanation is that during cyclic loading, humidity can reach the crack tips better and 501 longer than in single strength tests. Moreover, a tensile mean stress is present during the whole test. 502 As already discussed, the access of humidity to crack tips is crucial for subcritical crack growth [22]. 503 In the case of uncoated glass, water can reach the crack tips unhindered. Thus, the corrosive effect of 504 humidity is expected to be high. The large difference between the critical fracture strength and the 505 critical strength under cyclic load for uncoated UTG could be attributed to the fact that during cyclic 506 loading, humidity can reach the crack tips better and longer than in single strength tests. Moreover, a 507 tensile mean stress is present during the whole test.

508As presented in the review paper [22] most of the previous research focused on the fatigue509behaviour of specifically and intentionally pre-damaged samples to analyze the strength of thick glass

under cyclic load. In this paper however, no pre-damage was applied to the UTG but damages caused
by cutting, handling and coating are present. These could be considered as pre-damages as well but of
a more random nature. Nevertheless, the current study is one of the few studies using "undamaged"
glass substrates.

514 Since the uncoated glass samples have an equal edge quality and a comparable surface roughness 515 because of their production process, no significant differences between the four different glass 516 compositions could be detected. Nevertheless, differences between the uncoated and coated samples 517 can be demonstrated. A decrease of strength under quasi static conditions by coatings can be assumed 518 (Figure 13) – except for the already mentioned amorphous ITO thin films. In contrast, the critical 519 strengths of uncoated and coated UTG under cyclic loading conditions lie in a smaller range and 520 differences cannot be clearly distinguished on the given data base. However, the coatings probably act 521 in a comparable way to pre-damages since they also often lead to narrower probability distributions of 522 the glass strength. This effect is probably partly compensated by hindering moisture access to crack 523 tips in the underlying UTG.

524 As already discussed, the access of humidity to crack tips is crucial for cyclic crack growth [23]. 525 In the case of uncoated glass, water can reach the crack tips unhindered. Thus, the corrosive effect of 526 humidity is that high for uncoated UTG in the given setup. By implication, any coating on a flaw 527 should hinder the accessibility of the crack tip by humidity and thus reduce the influence of humidity 528 during cyclic loading on the glass strength. This effect is visible for the AR coated samples as well as 529 for the ITO coated samples in the as deposited state, i.e. for amorphous thin films on the glass surface. In these cases, the ratio of the critical fracture strength under cyclic load to the critical strength is 530 531 increased compared to uncoated glass. However, thean opposite effect is not clearly demonstrated in 532 the case of UTG with annealed ITO. Moreover, the, i.e. a crystalline coating does not cover the glass 533 edges since the glass was cut after coating. Thus, edge flaws are not (fully) protected. In addition, the 534 coating is thin compared to the substrate glassfilm.

535 Even though the thin films The strength decrease by crystallization was already shown under quasi-536 static conditions in [6]. The crystallinity of annealed ITO seems to be relevant to explain the strength 537 decrease compared to amorphous ITO also in the case of cyclic loading. Thus, the strength under quasi-538 static and cyclic loading conditions seems to depend on additional thin film properties than the barrier 539 properties. The influence of thin film morphology, thin film stress and other properties of crystalline 540 ITO on the strength of coated UTG is subject of current research and thus out of the scope of this paper. 541 The influence of thin film morphology, thin film stress and other properties of crystalline ITO on the 542 strength of coated UTG is subject of current research and thus out of the scope of this paper. However, 543 the influence of thin film stress will be discussed based on an FE-model in the following section.

Also in the case of amorphous layers, the influence of thin film stress on the UTG strength could not be finally resolved based on the experimental data. For example [21] has shown that compressive stress in the surface region of thermally toughened soda-lime glass decreases cyclic crack growth. The surface region of this glass is under compressive stress and compensates crack growth caused by tensile stress approximately until the level of compressive stress introduced is reached. The thin films deposited in this paper all show compressive film stress, too. However, only in the case of AF32 with amorphous (as dep.) ITO, such a compensation effect could be assumed.

551 <u>As already mentioned, thin films are additional layers on top of the glass surface. Even though</u>

552 they are thin compared to the substrate glass (Figure 14), their inherent thin compressive film stress 553 might significantly influence the glass. The thickness ratio of coating and substrate is 0.0015 for the 554 ITO coatings and 0.0045 for the antireflective layer stacks. Thus, the coating makes up less than 0.5 % 555 of the total thickness. In general, the compressive thin film stress causes tensile stress in the glass which facilitates crack growth. Moreover, it causes stress peaks in the glass which will be discussed 556 557 based on an FE-model in the following. Nevertheless, the compressive stress in the thin film leads to a 558 zone of tensile stress in the surface region of the underlying glass. Since the tensile strength of glass is 559 low compared to its compressive strength, this zone could be an explanation for a strength decrease caused by thin film coatings. This decrease is evident for the AR coated samples and also for UTG 560 with as deposited ITO in literature [6]. As already mentioned in section 3.1, the high strength of the 561 coated UTG with ITO as deposited in the U-shape setup is surprising. It remains unclear if this effect 562 563 can be contributed to the thin film properties, e.g. the amorphous structure caused by the hydrogen 564 atmosphere during sputter deposition. This is subject of future research.



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Figure 14. SEM picture of an ITO coated UTG sample. Only the first approx. 10 μm of
 the UTG are shown. The ITO thin film is visible on top. Moreover, the zone that was
 damaged by glass cutting is visible as a lighter area on the left side.

Figure 15 shows the resulting stress distribution for an as deposited ITO thin film with -700 MPa thin film stress on an AF32 UTG. The thin-film stress decreases near the edge but reaches the prescribed -700 MPa within approximately two micrometers from the edge (Figure 15 a)). The unloaded coating necessitates an equivalent stress increase within the UTG. The stress peak reaches values of up to 360 MPa in terms of maximum principal stress (Figure 15 b)). This high stress decreases

rapidly within one micrometer from the edge. With respect to the observed type of failure, this stress 574 575 peak cannot be identified as a main cause of failure in static loading. This is because there is no clear 576 indication of preferred failure near the edges for coated AF32. In fatigue loading, the edges become 577 more relevant for early failures. Two possible causes might explain this observation. First, the stress 578 peak within the glass might intensify the influence of defects in this region. Secondly, second damage 579 in the form of local separation of thin film and UTG could take place. [3844] In terms of the shear 580 stress τ_{12} (Figure 15 c)) a peak near the boundary layer is visible. The detrimental role that shear 581 stresses at free edges play is well known for multidirectional reinforced fiber reinforced laminates. In this case, differences in Poisson's ratio are the main cause of shear stresses. From the plane stress sub-582 583 model in the two-dimensional space, it is hard to estimate if the shear stresses introduced by the thin-584 film stresses get more severe under bending load (test condition). Further study is still needed to clarify 585 this point To better estimate the effect the stress state has on crack initiation, it would be necessary to 586 include a viable failure criterion and study the constituent's properties in more detail.



Figure 15. Stress distribution of different stress components near a free edge, due to thin film-stresses within the coating (Stresses in MPa). Displayed are: a) stress in 1-direction σ_{11} , b) first principal stress σ_1 and c) shear stress τ_{12}

591 In the case of UTG with annealed ITO, the general strength range is lower than for all other 592 samples (Figure 13). Figure 13). This cannot be explained only considering the accessibility of flaws or crack tips by humidity. Moreover, the barrier properties of ITO are not the only characteristic that 593 594 changes during annealing. In contrast, several other characteristics are changing, when the crystalline 595 structure is altered during the annealing procedure, e.g. thin film stress increases (Table I), 596 surface roughness increases (Table I) and other mechanical properties like the hardness change 597 [6]. Moreover, the nature of the boundary between thin film and substrate might change. Crystallization 598 could for example lead to micro damages in the glass surface which lower the glass strength and the 599 strength under cyclic load. Moreover, each crystal boundary is a possible flaw origin. As shown in 600 Figure 16 Figure 16, the ITO is partly crystallized after deposition and fully crystallized after annealing. 601 Thus, crack initiation and propagation might be more likely after annealing. However, only two 602 different ITO variations have been studied so far. The detailed investigation of the reasons for strength 603 differences between UTG with different ITO coatings is out of the scope of this paper but part of future 604 work.



Figure 16. SEM pictures of ITO on D263T. a) partly crystallized after sputter deposition, b) fully crystallized after annealing.

605 Conclusions

Strength evaluations of 100 μm ultra-thin flexible-glass in the uncoated and coated state have
 revealedproven that cyclic loading leads to a significant strength decrease compared to single
 loadingquasi-static conditions because of cyclic crack growth. This is consistent with findings on thick
 glass.

Investigations into the failure origins have revealed that edge failure clearly dominates-middle failure at low failure probabilities under cyclic loading conditions. In contrast, no clear dominance was observable under quasi-static conditions. This could be attributed to preferential subcriticalcyclic crack growth of edge flaws during cyclic loading.

614 Untempered coatingsAmorphous thin films (ITO and antireflective layer stacks) seem to reduce 615 subcritical cyclic crack growth, i.e. the ratio between strength under cyclic loading conditions and the 616 strength under quasi-static conditions is higher for coated than for uncoated ultra-thin glass. This could 617 be attributed to the barrier properties of the thin films. That is, however, not valid for 618 annealed crystallized ITO. The reason for In all cases, compressive thin film stress causes a zone of 619 tensile stress in the low ratio between both strength values for this coatingunderlying glass. This could 620 not be fully identified withinpartly compensate the scope of this work.barrier effect of the thin films 621 but cannot explain the significant strength decrease caused by crystallization. Thus, beside the barrier 622 properties the thin morphology seem to be crucial concerning the strength of coated glass. In contrast, 623 the accessibility of crack tips by moisture is still assumed to be the main factor influencing the strength 624 of uncoated glass. The correlation between relevant thin film characteristics (barrier properties, thin 625 film morphology and thin film stress) and the strength of the UTG is subject of future research.

526 The presented investigations form the basis for strength optimization of coated ultra-thin flexible 527 glass considering both the optimization of the cutting process and the optimization of the coating 528 procedure and the coating characteristics.

629 Use of AI tools declaration

630

The authors declare they have not used Artificial Intelligence (AI) tools in the creation of this

631 article.

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640 **Conflict of Interest**

- 641 The authors hereby declare that they have no conflict of interest.
- 642

643 **References**

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